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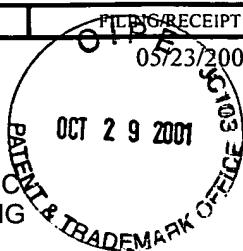
AUG 06 2001

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UNITED STATES PATENT AND TRADEMARK OFFICE

 COMMISSIONER FOR PATENTS  
 UNITED STATES PATENT AND TRADEMARK OFFICE  
 WASHINGTON, D.C. 20231  
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/863,876	05/23/2001	Takaaki Amano	SCET 18.702

 026304  
 HELFGOTT & KARAS, P.C.  
 EMPIRE STATE BUILDING  
 60TH FLOOR  
 NEW YORK, NY 10118


CONFIRMATION NO. 9474

FORMALITIES LETTER



\*OC000000006369647\*

Date Mailed: 08/01/2001

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## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

## Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 840.**

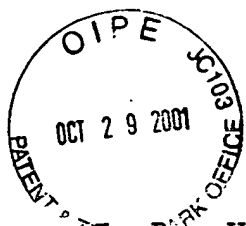
*A copy of this notice **MUST** be returned with the reply.*

 Customer Service Center  
 Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

12/12/2001 BABRAHA1 00000065 501290 09863876

 01 FC:101 740.00 CH  
 02 FC:105 130.00 CH



12-10-  
IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

SECTOR

In Re. U.S. Application of: Takaaki AMANO, et al.

Filed: May 23, 2001

Serial No.: 09/863,876

For: TRANSACTION PROCESSING SYSTEM

Attention : Application Branch

SUBMISSION OF MISSING PARTS

Hon. Commissioner of Patents  
and Trademarks  
Washington, D.C. 20231

SIR:

We have received a Notice to File Missing Parts of Application dated August 1, 2001 a copy of which is attached. In reply thereto enclosed please find the following items:

- ☒ 1. Declaration;
- ☒ 2. Petition for a one month extension;
- ☒ 3. Priority Documents; Certified copy of Japanese Application no. 2000-153233 filed May 24, 2000 and 2001-151507 filed May 21, 2001.
- ☒ 3. Return post card;
- ☒ 5. Notice to File Missing Parts of Application;

Any fee due with this paper may be charged to Deposit Acct.  
No. 50-1290.

ANY FEE DUE WITH THIS PAPER, NOT FULLY  
COVERED BY AN ENCLOSED CHECK, MAY BE  
CHARGED ON DEPOSIT ACCOUNT NO. 501290

Respectfully submitted,

[X] Samson Helfgott  
Reg. No.: 28,072

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OCTOBER 29, 2001  
Docket No.: 100809-16250 (SCET 18.702)  
ejh: Mispart.

Filed by Express Mail  
(Receipt No. EV 014263041U)  
on OCT 29, 2001  
pursuant to 37 C.F.R. 1.10  
by

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